

MidAmerican Service Request and Study Queue Transition Plan from TranServ and MidAmerican to Midwest ISO

Version 1.0

May 15, 2009

1. Overview

This document sets forth procedures for the transfer of responsibility for the MidAmerican Energy Company (“MidAmerican”) queues for transmission service requests and generator interconnection requests processed under the MidAmerican Open Access Transmission Tariff (“OATT”), collectively referred to as the “Service Request Queues,” from TranServ International, Inc. (“TranServ”) to the Midwest Independent Transmission System Operator, Inc. (“MISO”). This document also sets forth the procedures for the transfer of responsibility for the studies that are performed in the processing of the Study Request Queues, including Interconnection Feasibility Studies, Interconnection System Impact Studies, Interconnection Facilities Studies, System Impact Studies, and Facilities Studies, from TranServ to Midwest ISO.

2. Objective

To provide procedures for the transfer by TranServ of responsibility for the Service Request Queues and Interconnection Feasibility Studies (IFeS), Interconnection System Impact Studies (ISIS), Interconnection Facilities Studies (IFS), System Impact Studies (SIS), and Facilities Studies (FS) processed under the MidAmerican OATT to Midwest ISO. The terms Interconnection Feasibility Studies (IFeS), Interconnection System Impact Studies (ISIS), Interconnection Facilities Studies (IFS), System Impact Studies (SIS), and Facilities Studies (FS) shall match the definitions of these terms in the MidAmerican OATT.

3. Applicability

This document implements the intent of both MidAmerican and Midwest ISO, with MidAmerican joining the Midwest ISO as a Transmission Owner, with regard to transitioning from TranServ to Midwest ISO the responsibility for MidAmerican’s Service Request Queues, IFeS, ISIS, IFS, SIS, and FS, as required in the Midwest ISO Transmission Owners Agreement. This transition will not apply to interconnection requests queued in MidAmerican’s Iowa, Illinois or South Dakota state-regulated queues. References made to “days” shall mean calendar days unless specified otherwise.

4. Procedure Details

4.1 Transition Procedure for Study Queues

4.1.1 For the period leading up to the MISO Integration Date (estimated to be September 1, 2009):

- a. TranServ will continue to process MidAmerican’s Service Request Queues, SIS, IFeS and ISIS as specified in MidAmerican’s OATT Attachment K and pursuant to business practices and criteria posted on the MidAmerican OASIS.
- b. MidAmerican will continue to perform FS and IFS, subject to TranServ review as specified in MidAmerican’s OATT Attachment K and pursuant to business practices and criteria posted on the MidAmerican OASIS.
- c. MidAmerican will continue to negotiate Interconnection Agreements as specified in MidAmerican’s OATT.

4.1.2 On, or after, the integration date (estimated September 1, 2009):

- a. On the integration date, MidAmerican will provide the Service Request Queues to the Midwest ISO effective as of 10 am CDT on the integration date.

- b. MidAmerican shall provide notice to all Transmission Customers (“Transmission Customer” includes Interconnection Customer as used herein) with requests in the Service Request Queues that do not have an executed SIS or ISIS agreement with TranServ and MidAmerican as of the integration date that study responsibility is transferred to Midwest ISO. Further, the Midwest ISO tariff provisions will then apply with regard to study deposits, tariff provisions, business practices, queue processing approaches, etc. If the Transmission Customer has a signed IFeS Agreement as of the integration date, TranServ shall complete the IFeS under the provisions of the MidAmerican OATT, and following completion of the IFeS, responsibility shall be transferred to the Midwest ISO with the provisions of the Midwest ISO tariff applying from then on. Unused portions of study deposits collected by TranServ for studies that are transferred to Midwest ISO shall be returned to the Transmission Customer by TranServ.
- c. MidAmerican shall provide notice to all Transmission Customers that have an executed SIS or ISIS agreement with TranServ and MidAmerican and for which TranServ has started the study as of the integration date that TranServ shall continue to have study responsibility. Transmission Customers will be advised that MidAmerican OATT provisions for study deposits, business practices, and queue processing approaches, etc., shall continue to apply through the end of that study. Following the completion of the SIS or ISIS, the request will transition to the Midwest ISO tariff for the Facilities Study and subsequent processing. The Midwest ISO tariff provisions for study deposits, business practices, and queue processing approaches, etc., shall apply. The results of the SIS or ISIS will be honored by the Midwest ISO.
 1. Transmission Customers will be provided the option of terminating the existing SIS or ISIS agreement so that if the customer deems that the requested service is no longer desirable given MidAmerican integration into the Midwest ISO (e.g. point-to-point service requests that fall completely within the Midwest ISO footprint) the customer is able to terminate. A Transmission Customer opting to terminate an SIS or ISIS agreement will have the associated request withdrawn from the queue and TranServ shall provide either a refund for the unused portion of their study deposit, or an invoice for the unpaid balance for the study.
 2. Upon TranServ completion of an SIS, and following completion of the Facilities Study (if necessary) under the Midwest ISO tariff, the standard Midwest ISO Transmission Service Agreement will be utilized and offered to the Transmission Customer, or in the case of a Network Resource designation request, appropriate further steps will be taken by the Midwest ISO.
 3. Upon TranServ completion of an ISIS, Midwest ISO will perform a market deliverability test to determine the capability of the generator to deliver energy to the Midwest ISO footprint and receive network resource interconnection service. Upon completion of an interconnection facilities study (if necessary) under the Midwest ISO tariff, the standard Midwest ISO Generator Interconnection Agreement and its provisions for project suspension, cost allocation, etc. will be utilized and offered to the Transmission Customer.
- d. MidAmerican shall provide notice to all Transmission Customers with service requests queued on or before 5 pm CDT on May 29, 2009 that have an executed SIS or ISIS agreement with TranServ and MidAmerican and for which TranServ has not started the study as of the integration date that the Transmission Customer shall have a choice for TranServ to perform the study under the MidAmerican OATT provisions or have the study transferred to Midwest ISO to perform the study under the Midwest ISO tariff provisions. For the cases where the Transmission Customer chooses to have TranServ continue the study the provisions described in 4.1.2c shall apply. For the cases where the Transmission Customer chooses to have the study transferred to the Midwest ISO, the following shall apply:

1. TranServ shall provide each such Transmission Customer a refund of the unused portion of the study deposits collected by TranServ.
 2. Midwest ISO shall advise the Transmission Customer of any revision to the study agreement cost estimate(s) that will be incurred in the transfer of responsibility of the study to the Midwest ISO. MidAmerican shall provide Midwest ISO a cost estimate of MidAmerican's study work related to local distribution impacts as appropriate prior to Midwest ISO advising the Transmission Customer of any revisions to the study agreement cost estimate(s).
 3. Midwest ISO shall issue a new study agreement for the Transmission Customer to execute. This agreement shall become effective only a) upon termination of the TranServ and MidAmerican study agreement, and b) payment of the deposit required under the new agreement. The Transmission Customer shall have 15 days after receipt to execute the study agreement. If the Transmission Customer fails to execute the study agreement or fails to make the required deposit within the 15 days, then the request for service shall be terminated.
 4. If deposits are required by Midwest ISO for Midwest ISO study work, and such deposits are not made within the appropriate time period, the request for service shall be denied. The Midwest ISO shall advise the Transmission Customer of the consequences if they fail to provide the funds within the appropriate time period identified in the study agreement.
- e. MidAmerican shall provide notice to all Transmission Customers with service requests queued after 5 pm CDT on May 29, 2009 that have an executed SIS or ISIS agreement with TranServ and MidAmerican, that if TranServ has not started the study as of the integration date that study responsibility will be transferred to Midwest ISO to perform the study under the Midwest ISO tariff. Further, provisions for the Midwest ISO tariff then apply with regard to study deposits, tariff provisions, business practices, queue processing approaches, etc. The transfer provisions described above in d1 through d4 shall apply.
- f. MidAmerican shall provide notice to all Transmission Customers with requests in the Service Request Queues that have a completed SIS or ISIS, but have not executed an FS or IFS agreement as of the date of integration that study responsibility is transferred to Midwest ISO. Further, provisions for the Midwest ISO tariff will apply with regard to such studies, deposits, tariff provisions, business practices, queue processing approaches, etc.
- g. MidAmerican shall provide notice to all Transmission Customers with requests in the Service Request Queues that have a completed SIS or ISIS, and have executed an FS or IFS agreement, that MidAmerican shall continue to perform the FS or IFS with TranServ oversight under the provisions of the MidAmerican OATT. The results of the FS or IFS will be honored by the Midwest ISO; however, in the case of an IFS the Midwest ISO will perform a market deliverability test to determine the capability of the generator to deliver energy to the Midwest ISO footprint and receive network resource interconnection service.
1. Following completion of an FS, the standard Midwest ISO Transmission Service Agreement will be utilized and offered to the Transmission Customer, or in the case of a Network Resource designation request, appropriate further steps will be taken by the Midwest ISO..
 2. Following completion of an IFS, the standard Midwest ISO Generator Interconnection Agreement and its provisions for project suspension, cost allocation, etc. will be utilized and offered to the Transmission Customer.

- h. MidAmerican shall provide notice to all Transmission Customers with requests in the Service Request Queues that have a completed FS, but have not entered into a Service Agreement under the MidAmerican OATT as of the date of integration that the standard Midwest ISO Transmission Service Agreement will be utilized and offered to the Transmission Customer.
- i. MidAmerican shall provide notice to all Transmission Customers with requests in the Service Request Queues that have a completed IFS, but have not entered into an Generator Interconnection Agreement under the MidAmerican OATT as of the date of integration that the standard Midwest ISO Generator Interconnection Agreement and its provisions for project suspension, cost allocation, etc. will be utilized and offered to the Transmission Customer.
- j. For those Interconnection Requests that are in the MidAmerican Queue but haven't yet started the ISIS, the Midwest ISO will analyze to determine whether the project is eligible for the Definitive Planning Phase under the Midwest ISO Tariff. This determination will be provided to Transmission Customers fitting the criteria of 4.1.2.d prior to any decision deadlines of the customers.
- k. The Midwest ISO shall administer and manage the queues for new transmission service requests, receiving new generation interconnection applications, executing new study agreements, and conducting studies pursuant to these new agreements. TranServ shall administer and manage the SIS, FS, IFeS, ISIS, and IFS that remain with TranServ beyond the integration date until all such studies are completed.
- l. TranServ shall keep the Midwest ISO and MidAmerican informed on a monthly basis about the progress on the completion of outstanding studies.