OATI WEBOASIS

RELEASE NOTES

JANUARY 2009 | Version 3.4.08

PROPRIETARY AND CONFIDENTIAL

TRADE SECRET

This document and attachments contain confidential and proprietary information of Open Access Technology International, Inc. This information is not to be used, disseminated, distributed, or otherwise transferred without the expressed written permission of Open Access Technology International, Inc.

PROPRIETARY NOTICE

OATI webOASIS is a trademark and service mark of Open Access Technology International, Inc. All rights reserved.

Table of Contents

1. webOASIS Software Updates

These release notes document the changes that are included in the OATI webOASIS Release 3.4.08.   The changes are scheduled to be applied to the Production System on January 29, 2009.

1.1 Transmission Reservation

- **FULL_TRANSFER Request Type and User Interface [Enhancement]**

  Description: New user interface to carry out Full Transfer transactions.

  Impacts: Full Transfer, Reservation Summary and Reservation Entry forms.

  Details: A new user interface has been created where users can transfer transmission rights using the FULL_TRANSFER request type. This request Type requires three party approval; Assignee, Reseller and Transmission Provider. The Transmission Provider (TP) will need to approve the request before it can be CONFIRMED. If the TP has not yet approved the request, the CONFIRMED button will be disabled for the users to prevent this final status change.

  On the Reservation Detail and Print View, a new column will be displayed to show the Primary Provider Approval flag when the request type is FULL_TRANSFER or PART_TRANSFER.

  Configuration: This is base webOASIS functionality and is not configurable.

- **PART_TRANSFER Request Type [Enhancement]**

  Description: New request type PART_TRANSFER to be supported in entry forms and templates.

  Impacts: Reservation entry form and transassign, transcust and transsell templates.

  Details: Functionality associated for the new request type PART_TRANSFER will be similar to the current RESALE process (including existing capacity checks). The transassign UI allows for the Provider and Resellers to enter Part Transfer reservations with similar functions to the current RESALE process. A new flag called "Primary Provider Approval" has been introduced. User interface TSR Detail and Customer

Update as well as templates impacted were updated not to allow a PARTIAL_TRANSFER reservation being confirmed unless the Primary Provider Approval flag has been set to 'Y'.

For transsell template, if the reservation is preconfirmed and the approval flag is null (unset) the reservation's status will be set to ACCEPTED (not CONFIRMED). If the approval flag is set to "N", the reservation's status will be set to DECLINED. If the approval flag is set to "Y", the reservation's set will be set to CONFIRMED.

Configuration: This is base webOASIS functionality and is not configurable.

- **Post For Resale Change [Enhancement]**

  Description: Modifications required by with FERC Order 676.

  Impacts: Resale entry form.

  Details: Restrictions were removed on the "Post for Resale" entry form, which blocked a reservation being posted for resale for an amount that exceeded the reservation's amount. Also, enforce that a RESALE request must have identical attributes to the parent reservation. A Resale shall retain all the same transmission service attributes, transmission service priority, and points of delivery and receipt of the Parent Reservation. For example, if one hour of a Monthly Firm reservation is resold, the Resale reservation shall be a Monthly Firm Resale reservation lasting one hour.

  Configuration: This is base webOASIS functionality and is not configurable.

- **RELINQUISH [Enhancement]**

  Description: New RELINQUISH request type.

  Impacts: TSR Detail, TSR Summary, TSR Entry, Reduction Summary, and Reduction Detail forms.

  Details: Add support for new type RELINQUISH. Any active TSRs that previously had a request type of RELEASE will be displayed with a request type of RELINQUISH.

  Configuration: This is base webOASIS functionality and is not configurable.

1.2   Trans Reservation Entry

- **New Flag Primary Provider Approval [Enhancement]**

  Description: Provide means for Transmission Providers (TPs) to approve transfer transactions.

  Impacts: Reservation Summary, Reservation Detail and Reservation Audit forms.

  Details: Transfers reservations require that the primary provider approve the request before it is CONFIRMED. The new data element will represent the provider's approval action on the request.

  Configuration: This is base webOASIS functionality and is not configurable.

1.3   User and Company Settings

- **DUNS Numbers Validation Change [Enhancement]**

  Description: Allow adding companies with the same DUNS number.

  Impacts: Company addition process.

  Details: A change was applied to allow the companies with the same DUNS to be added as long as they have different company codes.

  Configuration: This is base webOASIS functionality and is not configurable.

2. Extended Modules

There are no changes in this release.

2.1 Deal Entry

There are no changes in this release.

2.2 Enhanced Resale

There are no changes in this release.

2.3 Bulletin Board

There are no changes in this release.

2.4 Auctions

There are no changes in this release.

2.5 Miscellaneous

There are no changes in this release.

2.6 wesTTrans.Net OASIS Site

Changes are applied to the web site as needed.

APPENDIX A - ORDER 676

FUNCTIONALITY FOR RELINQUISH, RESALE, PARTIAL TRANSFER AND FULL TRANSFER REQUEST

JANUARY 2009 | Version 3.4.08

PROPRIETARY AND CONFIDENTIAL

1. Introduction

This appendix describes the functionality of Relinquish, Resale, Full and Partial Transfers on OASIS and passing this information onto external systems for appropriate handling of the affected reservation(s) as specified in FERC Order 676. The functionality in this release has been modified to allow the Transmission Customer to transfer scheduling rights to the Assignee through Resale and to transfer all rights and encumbrances to the Assignee through Partial or Full Transfer.

The FERC Order 676 specified that modifications to OASIS were required to allow a Transmission Customer to transfer certain rights to an Assignee, or third party, to provide improved utilization of the bulk power system. This release satisfies this requirement.

Relinquish is synonymous with request type Release, which will be replaced in 3.4.08 release.

2. Functional Description

2.1 Relinquish

Relinquish is synonymous with the current Release request type. No modifications to the existing functionality will occur, however Relinquish will replace Release in OASIS. Relinquish requests must be submitted pre-confirmed and are bound by the start/stop time of the Firm Parent reservation. Relinquish requests may not be requested for past hours and must be within the bounds of the Redirect on a Non-Firm basis start/stop times. The CAPACITY_REQUESTED shall be the value(s) desired to be relinquished from the Redirect on a Non-Firm basis reservation and added back to Capacity Available to Redirect on the Firm Parent Reservation.

2.2 Resale

Resales allow the Transmission Customer to transfer scheduling rights for all or a portion of their Confirmed transaction MW to an Assignee, or third party. The Assignee must have a valid service agreement in place with the Transmission Provider at least 24 hours in advance of the resale. If a valid service agreement is not in place, the TP has the right to nullify the sale. Resale transactions allow for the transfer of scheduling rights only and do not convey financial obligation to the Assignee. Resale transactions can be for all or part of MW and/or duration, but must not exceed the Parent reservation MW and/or duration. Upon Confirmation of the Resale on OASIS, the Reseller shall lose those conveyed scheduling rights for the time frame and in the amount of the Resale. Resales do not carry forward any renewal rights, but they can be redirected in accordance with approved OASIS Business Practices. A Resale shall retain all the same transmission service attributes, transmission service priority, and points of delivery and receipt of the Parent Reservation. For example, if one hour of a Monthly Firm reservation is resold, the Resale reservation shall be a Monthly Firm Resale reservation lasting one hour. All Resales must include the price of the Resale and price units shall always be $/MW – Hour reserved.

2.3 Transfers

A Financially Obligated Transmission Customer (FOTC or Reseller) shall have the right to Transfer all of their rights and obligations under an existing, confirmed Firm or Non-Firm Monthly or Yearly Point-To-Point Transmission Service reservation (i.e. Parent Reservation) to

another Transmission Customer (Assignee). Such Transfer may be for all (Full Transfer) or a portion (Part_Transfer) of the capacity of that reservation. The confirmation of a Transfer of transmission rights shall convey all rights and obligations under the Transmission Provider's tariff from the Reseller to the Assignee, including the financial obligation to the TP. Prior to the confirmation of a Transfer, the prospective Assignee and TP shall have an executed Transmission Service Agreement. All Transfers must be agreed to by the FOTC, the Assignee, and the TP. The conveyance of Transfer rights is not complete until the TP approves the Transfer and the Transmission Provider shall not unduly withhold such approval.   Upon confirmation of the Transfer on OASIS, the FOTC (Reseller) shall lose those conveyed rights for the time frame and in the amount of the Transfer. The stop time of the Transfer must coincide with the stop time of the Parent Reservation.

2.3.1 Full Transfer

Full Transfers for the entire capacity granted of the Parent Reservation shall result in the Transfer of all capacity of the Parent Reservation and the Transfer of all encumbrances associated with that capacity in the form of confirmed Redirects, Resales, or any other reductions in reserved capacity. A Full Transfer of a Parent reservation which has been redirected or resold through Resale, in whole or in part, will automatically result in the Transfer of the Child(ren) Redirect(s).

2.3.2 Partial Transfer

A Partial Transfer (Part_Transfer) consists of capacity less than the granted capacity of the Parent reservation less any reductions (Redirects, Resales). Only the capacity available for scheduling may be included in Part_Transfer.