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**Business Practice: OATI OASIS Access Limitations**

**Posted:** December 31, 2008  
**Effective:** December 31, 2008  
**Revision No.:** 1

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The following Access Limitations were installed in the Production OASIS system on January 12<sup>th</sup>, 2005 and manually monitored. On January 19<sup>th</sup>, the limits were enforced in the software.

**Automated Access to OASIS**

Entities must provide OATI a thirty- day advance written notice prior to initiating a significant amount of automated queries. OATI will restrict access if a significant amount of automated queries are performed by an entity that has not provided notification.

**Access Restrictions**

OATI will restrict the access to OASIS if the company employs grossly inefficient methods to access OASIS that degrades the performance of the node.

- Transmission Offering Queries
  - Sixty queries per sixty second period are allowed to be submitted to each transmission provider
- Enforcement
  - The monitoring of queries and requests is monitored by the OASIS software, and includes the access to the OASIS through the templates as well as through the user interface.
  - The monitoring period may fluctuate from sixty seconds to three-hundred seconds as needed. Sixty second average values will be used.
  - If a Query limit is exceeded the following actions will be taken:
    - The access to submit additional queries will be blocked for a sixty second period
    - If the query limit is exceeded three times in a ten minute period, additional queries will be blocked for a two hour period.

**Reporting of Violations**

The OASIS Node Administrator will contact the entity that has violated the access limits as soon as practicable for any violation resulting in a two hour access limitation. The OASIS Node Administrator will contact the entity by the next business day for all violations that resulted in the sixty-second access limitation.

**Data Record Limitations**

The OASIS system supports the download of 2,000 records in a transstatus query, and 2,000 records in a transoffering query.

### Revision History

Revision	Date	Change Summary
0	January 3, 2005	<ul style="list-style-type: none"><li>• Initial Posting</li></ul>
1	December 30, 2008	<ul style="list-style-type: none"><li>• Format Cleanup</li><li>• Added Revision History</li></ul>