



Facility Interconnection Requirements

Of

Associated Electric Cooperative, Inc.

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***** NOTE: When revising this procedure post on AECI's OASIS site and add a notice on the AECI Open Access News section.**

Revision No.	Revision History	Date
0	Original Issue	9/24/2004
1	Added reference to the NERC standard, added revision history, added the signatures and added statement regarding self-application.	5/02/2007
2	Added new paragraph V.1 "Criteria for Studies of New Facilities"	6/13/2007
3	Amended and replaced in entirety	4/01/2011
4	Changed document title from FAC-001-0 to FAC-001-1. Reworded Purpose statement to reflect AECI's NERC registration.	2/26/2015
5	Complete rewrite and reformat to conform to new FAC-001-2. Added "materially modified" language.	12/11/2015
6	Changed document title from FAC-001-2 to FAC-001-3. Added Balancing Area to the required information to be submitted in the Interconnection Request.	12/16/2017

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A. Purpose

To avoid adverse impacts on the reliability of the Bulk Electric System, Associated Electric Cooperative Inc. ("AECI") has established these requirements for interconnections to AECI's Bulk Transmission System.

B. Documentation

AECI shall maintain and update its facility connection requirements as needed. The requirements will be provided to those wishing to interconnect to AECI's Bulk Transmission system, to SERC, and to NERC upon request.

C. Application

These facility connection requirements shall apply to the following new or materially modified interconnections to AECI's Bulk Transmission System:

- Electric generating facilities;
- Transmission system to system facilities (i.e. interconnection with an adjacent Transmission Owner);
- End-user facilities.

For new or materially modified generation interconnections, interconnection requestors should refer to AECI's Generation Interconnection Procedures (GIP).

D. Definitions

1. AECI Bulk Transmission System – Any transmission line or substation owned by AECI or by AECI's six member G&T cooperatives that is energized at a voltage of 100 kV or above.
2. Interconnection Facilities – Equipment used to interconnect the following to the AECI Bulk Transmission System:
 - An electric generator(s)
 - Transmission system to system
 - End-user facility
3. Interconnecting Party – a party, other than AECI or an AECI member G&T, desiring to interconnect to the AECI Bulk Transmission System for the purposes as defined in Section 2.
4. Point of Interconnection – A physical location where the Interconnection Facilities of the Interconnecting Party connects to the AECI Bulk Transmission System.
5. Transmission Owner – an entity that owns and maintains transmission facilities as defined in NERC's Glossary of Terms Used in NERC Reliability Standards.
6. Materially modified interconnection – an existing AECI Bulk Transmission System interconnection that has physically changed due to:
 - reconductoring of a tie line
 - adding a second circuit between the tie line facilities
 - changing out an existing tie transformer

- adding an additional tie transformer
- changing the reactive capability of a shunt device by more than 50 Mvars
- adding more than 50 MW of load

E. Facility Connection Requirements

AECI's requirements for a proposed new or materially modified Interconnection Facility connected to the AECI Bulk Transmission System are in Appendix 2.

F. Interconnection Request

To initiate an Interconnection Request, Interconnection Customer must submit all of the following to AECI:

1. A completed application and completion of the Interconnection Facility Data in the form of Appendix 1.
2. Execution of an Interconnection System Impact Study Agreement
3. Executed Non-disclosure and Confidentiality Agreement.
4. A security deposit sufficient to cover, as determined by AECI, AECI's cost for performing, or causing to be performed, the work necessary to evaluate the Interconnection Request.

G. Coordinated Joint Studies of New Facilities

1. After receipt of the complete information in section F above, AECI will study or cause to be studied any proposed new or materially modified Interconnection Facility to the AECI Bulk Transmission System in accordance with AECI's FAC-002-2 Facility Interconnection Studies document.
2. The proposed Interconnection Facility shall not negatively impact AECI's ability to utilize existing generating resources, serve its existing network and native load, or AECI's ability to import and export power and energy.
3. AECI will coordinate any studies required to determine the impact of the Interconnection Request on Affected Systems and include those results in the interconnection study report.
4. Once studies are performed, AECI and the Interconnecting Party will assess and agree upon any system upgrades that are required. AECI shall work with the Interconnecting Party to identify specific transmission additions and provide a non-binding cost estimate of the transmission additions or upgrades identified in the studies.

H. Agreements

Prior to the in-service date of any new or materially modified Interconnection Facility by an Interconnecting Party, AECI and the Interconnecting Party must enter into a contractual agreement which includes the applicable requirements of this document.

I. Notification of New or Modified Facilities to Others

After contractual agreements have been executed addressing a proposed Interconnection Facility, AECI shall provide updated information to regional models as soon as feasible and to operating models for use in the Interchange Distribution Calculator (IDC), Available Flowgate Capacity (AFC) calculations, etc.

The AECI Transmission Planning Department shall be responsible for notifying neighboring Transmission Owners and Reliability Coordinators with data changes.

Appendix 1: Interconnection Request

1. The undersigned Interconnection Customer submits this request to interconnect its Facility with the Transmission System pursuant to the Interconnection Procedure and Agreement.
2. This Interconnection Request is for (check one):
_____ A proposed new interconnection.
_____ A material modification of an existing interconnection.
3. Interconnection Customer provides the following modeling information:
 - a. Interconnecting primary voltage level desired.
 - b. Bus numbers or address or location of the proposed new or materially modified interconnection (to the extent known).
 - c. Conductor size, operating temperature, summer ratings, winter ratings, positive sequence impedance, negative sequence impedance, zero sequence impedance.
 - d. Transformer primary voltage, secondary voltage, ONAN rating, ONAF rating, OFAF rating, positive sequence impedance, negative sequence impedance, zero sequence impedance.
 - e. Shunt reactive capability.
 - f. General description of the equipment configuration.
 - g. Desired operational date.
 - h. Balancing Area in which the interconnection will be located.
 - i. Name, address, telephone number, and e-mail address of Interconnection Customer's contact person.

4. This Interconnection Request shall be submitted to the representative indicated below:

Associated Electric Cooperative, Inc.
Manager, Transmission Services
2814 S Golden Avenue
PO Box 754
Springfield, MO 65801

5. Representative of Interconnection Customer to contact:

6. This Interconnection Request is submitted by:
Name of Interconnection Customer: _____
By (signature): _____
Name (type or print): _____
Title: _____
Date: _____

Appendix 2: Interconnection Requirements

The following are requirements for a proposed new or materially modified Interconnection Facility connected to the AECI Bulk Transmission System.

1. Bus Configuration

For interconnections to AECI's Bulk Transmission System requiring a new substation, the preferred bus configuration is a ring bus. For interconnections to existing substations, consideration will be given to the existing bus configuration.

2. Voltage Level, MW and Mvar Capacity at the Point of Interconnection

The interconnecting primary voltage level shall ultimately be determined by AECI. This determination will be based on the MW and Mvar capacity of the Interconnecting Facility and the capability of the surrounding AECI Bulk Transmission System. Conversion of existing circuits to a higher voltage level may be required as determined by studies performed in accordance with FAC-002.

3. Breaker Duty and Surge Protection

AECI shall review and approve surge protection specifications of Interconnection Facility equipment to ensure a consistent design with the AECI Bulk Transmission System.

4. System Protection and Coordination

- a. The Interconnecting Party is responsible for providing protection devices that will protect its equipment against faults and disturbances on the AECI Bulk Transmission System and on the Interconnecting Party's system. The Interconnecting Party shall use AECI's design criteria for protection of any part of the AECI Bulk Transmission System. AECI's design criteria states that "all faults on the AECI Bulk Transmission System must be seen by two relays on separate battery systems."
- b. AECI will have no responsibility for inspection, but reserves the right to observe the Interconnecting Party's tests and/or inspection of any of the Interconnection Facilities protective equipment that is essential to the interconnection, including relays, circuit breakers, protective devices and related equipment. Inspection may include simulated test tripping of the Interconnection Facilities' interconnection breakers by the protective relays.
- c. Settings of all protective devices (current transformers, potential transformers, relays, reclosers, fuses, etc.) on the AECI Bulk Transmission System will be specified by AECI.
- d. Settings of interconnection protective devices on the Interconnection Facilities' system will be specified by the Interconnecting Party, but will be checked, coordinated with, and reviewed by AECI before application and subsequent modification.
- e. The Interconnecting Party shall install underfrequency protection on its equipment. If applicable, AECI shall require that the Interconnecting Party shed load on underfrequency conditions as directed by SERC and NERC Reliability Standards in proportion to the Interconnecting Party's load on the system.
- f. The Facility owner shall install, according to SERC and NERC Reliability Standards, undervoltage load shedding (UVLS) relays. AECI shall review and approve the scheme and settings for UVLS.

- g. The Interconnecting Party shall have full responsibility for the routine maintenance of its equipment and protective devices. Complete maintenance records of the protective devices essential to the interconnection must be maintained by the Interconnecting Party and be available for AECI's review.
- h. The Interconnecting Party shall provide information in order to comply with applicable NERC and SERC Reliability Standards relating to the implementation and maintenance of the protective relaying system.
- i. Any protective device changes on AECI's Bulk Transmission System that may be required by interconnection with an Interconnecting Party shall be made by AECI at the Interconnecting Party's expense.
- j. The Interconnecting Party with an electric generator will provide a circuit breaker(s), as specified by the applicable IEEE Standards, between its generator(s) and the AECI Bulk Transmission System. This breaker(s) must be capable of interrupting the maximum fault current available from either the Interconnecting Party's generator(s) or the AECI Bulk Transmission System, whichever is greater, and must be capable of withstanding twice the voltage normally applied. The values for fault currents available from the AECI Bulk Transmission System will be supplied by AECI, provided the Interconnecting Party has submitted sufficient data concerning its proposed system.
- k. A visible disconnecting device with load interrupting capability shall be located between the Interconnection Facility and the AECI Bulk Transmission System. The Interconnection Facility's disconnecting device shall be acceptable to AECI for this purpose or AECI will install a disconnecting device at the Interconnecting Party's expense. AECI reserves the right to open the disconnecting device with or without prior notice to the Interconnecting Party for any of the following reasons:
 - System emergency
 - Interference of the Interconnection Facility's equipment with service to AECI member load or with operation of the AECI Bulk Transmission System
 - Existence of a hazardous condition, lack of scheduled maintenance or lack of maintenance records, as revealed by inspection of the Interconnection Facility's protective devices
- l. When a step-up or step-down transformer is used, the winding connection to be used at the primary voltage interconnecting point will be specified by AECI. The requirement for a wye grounded primary connection will necessitate the use of a three-phase interrupting device (breaker) at the primary voltage level. Depending on the system parameters, a current limiting reactor may be required in the neutral of the wye winding.
- m. Protective and associated devices may be required at the primary voltage level to detect ground faults that occur on the AECI Bulk Transmission System. These devices will operate to isolate the Interconnection Facility from the AECI Bulk Transmission System and eliminate any ground current contribution from the Interconnecting Party's generator.
- n. All shunt-tripped short circuit interrupting devices must be equipped with reliable power sources. A battery with associated charging facilities is considered a reliable source.
- o. Inverters, when used by the Interconnecting Party, shall be designed so that a utility system interruption will result in their disconnection from the AECI Bulk Transmission System. Harmonics generated by the DC-AC generator-inverter combination must not

cause any reduction in the quality of service provided to other customers or interference with communication circuits.

- p. The Interconnecting Party shall be solely responsible for provisions to disconnect its generation automatically when a disturbance on the AECI Bulk Transmission System results in the Interconnecting Party's generation being isolated from AECI Bulk Transmission System. In the event the Interconnecting Party fails to provide such, the Interconnecting Party shall be liable for damage resulting from an out-of-step condition and liable for causing a hazardous condition on the AECI Bulk Transmission System.

5. Metering and Telecommunications

- a. All Interconnection Facilities connected to the AECI Bulk Transmission System shall provide metering capable of reporting instantaneous and hourly MW and Mvar values at the Point of Interconnection. If the Point of Interconnection is to be operated as an interchange tie (point between adjacent Balancing Authority boundaries), a telecommunication channel shall be provided from the Interconnection Facility to the AECI System Operations Center (SOC). AECI, at their sole discretion, may accept the interchange tie value at a remote location rather than the SOC.
- b. The Interconnecting Party shall make available to AECI on at least a monthly basis, hourly meter readings on the flow of real and reactive power over the Point of Interconnection. The Interconnecting Party shall supply the readings in an electronic format.
- c. For new transmission system to system interconnections with another Transmission Owner, the contractual agreement shall address the responsibilities of each party as related to the provisions of metering data and telecommunications facilities.

6. Grounding and Safety Issues

- a. For all Interconnection Facilities connected to the AECI Bulk Transmission System, AECI shall review and approve the grounding plan to ensure that a safe and reliable grounding plan is designed.
- b. For safe operation during switching, AECI shall assign device numbers to sectionalizing equipment connected to the AECI Bulk Transmission System. The Interconnecting Party shall coordinate with AECI in developing switching procedures for operation of the Point of Interconnection.
- c. AECI shall require a visible disconnect at the Point of Interconnection.

7. Insulation and Insulation Coordination

- a. The Interconnecting Party shall supply Basic Impulse Level design data to AECI for review and approval. Equipment includes but is not limited to Generator Step-Up ("GSU") transformers, start-up transformers, and auxiliary transformers. The Interconnecting Party shall submit the design data for transmission lines connecting to the AECI Bulk Transmission System to AECI for review and approval. AECI may require that the low voltage equipment be protected from animal contact by wrapping bus work and other related equipment.

- b. For new transmission system to system interconnections with another Transmission Owner, the contractual agreement shall address the responsibilities of each party as related to the coordination of insulation requirements.

8. Voltages, Reactive Power and Power Factor Control

- a. An Interconnecting Party with an electric generator shall perform the following: 1) follow voltage or reactive power schedules as directed by AECI, 2) maintain units in AVR as required by NERC Reliability Standards, and 3) provide reactive power as to not burden the AECI Bulk Transmission System.
- b. An Interconnecting Party with end-use load shall be required to operate their system with an acceptable power factor. Typically, AECI requires at least 97% power factor on end-use loads connected to the AECI Bulk Transmission System. If the requirement is not met, AECI reserves the right to penalize or ultimately curtail service to end-use loads not meeting the requirements of the IOA or not operating to Good Utility Practice.
- c. All transmission system to system interconnection shall require that both parties supply their reactive load to their system and not burden the other party.

9. Power Quality Impacts

- a. Inverters, when used by the Interconnecting Party, shall be designed so that a utility system interruption will result in their disconnection from the AECI Bulk Transmission System. Harmonics generated by DC-AC generator-inverter combination must not cause any reduction in the quality of service provided to other customers or interference with communications circuits.
- b. AECI shall review and approve any switched devices operated by the Interconnecting Party that could result in unacceptable voltage fluctuation or power quality concerns on the AECI Bulk Transmission System.

10. Equipment Ratings

AECI shall review and approve all ratings of equipment connected to the AECI Bulk Transmission System. This includes, but is not limited to, breakers, instrument transformers, conductors, bus work, and switches. AECI's Rating Criteria shall be used to determine ratings on equipment connected directly to the AECI Bulk Transmission System. For transmission system to system interconnections the two parties will agree on the ratings. If agreement cannot be reached, the most limiting rating will be assigned.

11. Synchronization of Facilities

The Interconnecting Party shall be solely responsible for synchronizing and properly connecting and disconnecting its electrical system relative to parallel operation with the AECI Bulk Transmission System. If generation is connected, the Interconnecting Party shall supply an auto or semiautomatic synchronizing scheme to prevent the closing of its circuit breaker when the two electrical systems are out of synchronism. This scheme will inherently prevent the Interconnecting Party from closing its circuit breaker when AECI's circuit is de-energized. The Interconnecting Party furnished synchronizing scheme shall consist of the following (or a functionally equivalent scheme acceptable to AECI) as a minimum:

- **Synchronizing Switch** - To supervise the circuit breaker closing circuit and activate the synchroscope and the synchronizing relay as described below. This switch must be closed (ON) before the circuit breaker can be closed.
- **Synchroscope** - This meter provides a visual indication of the relative synchronism between the two electrical systems. The meter is activated by the synchronizing switch and is only operational prior to the actual closing of the circuit breaker.
- **Synchronizing Relay** -This relay supervises the circuit breaker closing circuit and allows manual closing only when the two electrical systems are within a specified degree of perfect synchronism

12. Maintenance Coordination

- a. The Interconnecting Party shall provide maintenance schedules to AECI for the purpose of reliability coordination within AECI and neighboring systems. Conversely, AECI shall inform the Interconnecting Party of any maintenance that may affect the operation of the Interconnection Facility. AECI and the Interconnecting Party shall work together to establish mutually agreeable maintenance schedules.
- b. Maintenance schedules shall be supplied each month with weekly updates provided as necessary. Both parties shall attempt to provide as much notice as possible on planned maintenance. AECI's minimum requirement for outage notification is seven days.

13. Operational Issues

Abnormal voltage and frequency conditions can occur on the interconnected system. As directed in section 4, the Interconnecting Party shall have installed adequate relay protection for voltage and frequency excursions. However, AECI System Operators may be aware of voltage and frequency events where the Interconnecting Party is required to act to help alleviate the problem. At all times, the Interconnecting Party is required to follow the instructions of the AECI System Operator. The Interconnecting Party shall provide AECI with a 7/24 contact for system operations. The operators of the Interconnection Facility shall have the authority to take necessary action at the direction of the AECI System Operator.

14. Inspection Requirements for Existing or New Facilities

AECI shall have the right to inspect any equipment that is in line or in contact with AECI's Bulk Transmission System. The Interconnecting Party shall reimburse AECI for the cost of inspection. The Interconnecting Party shall furnish final construction drawings to AECI.

15. Communications and Procedures During Normal and Emergency Conditions

- a. Communications between AECI System Operator and the Interconnecting Party shall be professional at all times with personnel identifying themselves and concisely stating the operational issue.

- b. During normal operations, operators for both parties shall attempt to state the operating issue and can request that a particular action be taken. The Interconnecting Party can express their reasons for disagreeing with a direction from AECI. The AECI operator will take their objection under advisement and make operational decisions taking into account any additional information that may have been supplied by the operators of the Facility. If agreement cannot be reached, AECI's Supervisor of System Operations may contact the Facility supervisor for further discussion and agreement. If agreement cannot be reached, the Facility shall follow the instruction of AECI system Operations.
- c. If the operational issue is an emergency, the AECI dispatcher will concisely describe the emergency and that immediate action be taken by the Facility operator. The Facility operator shall perform the operation immediately.